



Original Article

# Effects of direct-printed aligner eluents on the growth, biofilm, and transcriptome of *Streptococcus mutans* GS-5

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## ABSTRACT

**Objectives:** This study aimed to evaluate the influence of eluents from direct-printed aligners (DPAs) on *Streptococcus mutans* (*S. mutans*), a major cariogenic bacterium, by examining bacterial growth, biofilm formation, and transcriptomic changes. **Methods:** Eluents were collected by immersing 3D-printed aligners in artificial saliva under simulated oral conditions. These eluents were then used to treat *S. mutans*, and bacterial proliferation was measured by optical density at 600 nm (OD<sub>600</sub>). Biofilm formation was quantified using crystal violet staining, and ultrastructural alterations were assessed via scanning electron microscopy (SEM). Transcriptomic shifts were identified by RNA sequencing (RNA-seq). **Results:** DPA eluents reduced *S. mutans* growth and biofilm formation by 29-39% compared to controls ( $p < 0.05$ ). SEM revealed decreased biofilm without morphological alterations. RNA-seq analysis detected 10 differentially expressed genes (DEGs), showing downregulated expression of quorum sensing (QS) and biofilm-associated genes, including lantibiotic-related and acyl carrier protein genes. Conversely, genes related to oxidative stress responses—such as aldo-keto reductases, short-chain dehydrogenases, and ATP-binding cassette transporters—were upregulated, suggesting activation of a stress-adaptive mechanism. **Conclusions:** While DPA eluents effectively suppress biofilm formation, the accompanying activation of oxidative stress responses may enhance bacterial resilience, raising concerns about potential long-term microbiome dysbiosis. Comprehensive microbial evaluations of orthodontic materials remain essential to ensure clinical safety and efficacy.

**Key Words:** Biofilm, Direct-printed aligner, RNA sequencing, *Streptococcus mutans*, Transcriptome

## Introduction

The advancement of three-dimensional (3D) printing technology and biomaterials, computer-aided design (CAD) and manufacturing (CAM) have significantly influenced the field of orthodontics, particularly in the production of clear aligners and retainers [1]. Clear aligners offer several advantages over traditional fixed orthodontic appliances, including enhanced aesthetics, ease of use, and improved oral hygiene management [2,3]. Additionally, direct-printed aligners (DPAs) have garnered significant attention due to their environmental advantages and the customization potential of in-house fabrication systems [4,5].

However, their widespread adoption has been accompanied by an increasing number of reports of adverse clinical effects. Allareddy et al. [6] analyzed data from the Manufacturer and User Facility Device Experience database and identified 169 adverse events associated with Invisalign over a 10-year period from 2006. Reported cases ranged from mild reactions, such as skin rashes, to severe or potentially life-threatening complications, including breathing difficulties, sore throat, swelling of the throat, tongue, or lips, hives, anaphylaxis, and sensations of airway obstruction or laryngospasm. Willi et al. [7] detected urethane dimethacrylate in

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all tested DPA samples, with concentrations ranging from 29 to 96 µg/L after immersion in distilled water (DW) for one week. When considered together, these studies point to potential concerns regarding the safety of DPAs use. Given that patients are typically instructed to replace DPAs every 7–10 days, the repeated introduction of new aligners into the oral cavity may contribute to continuous exposure to residual chemicals, raising potential health concerns. If aligners are not systematically tested for material leaching or contain harmful chemical components, extended exposure could pose significant health risks, akin to repeated contact with a toxic substance.

Beyond potential cytotoxic effects, the accumulation of biofilm on DPAs can contribute to dental caries, periodontal disease, and other oral health complications [2,3,8]. Despite being easily removable, DPAs may promote biofilm formation by disrupting saliva flow and natural self-cleaning mechanisms when worn for extended periods [1,9,10]. Karkhanechi et al. [11] reported shifts in the periodontal microbiota following clear aligner usage, and more recently Yan et al. [9] has confirmed that biofilm accumulation on clear aligners is associated with microbiome alterations linked to dental caries. Additionally, the use of clear aligners has been shown to contribute to the colonization of *Streptococcus mutans* (*S. mutans*), further increasing the risk of caries in orthodontic patients [2].

Despite the growing interest in DPAs, studies investigating their interactions with *S. mutans*, a key contributor to dental caries development, remain limited. To address this gap, the present study aimed to evaluate the effects of DPAs on the growth and biofilm formation of *S. mutans* using eluent from DPAs. Additionally, we utilized RNA sequencing (RNA-seq) to analyze the associated gene expression profiles of *S. mutans* in response to DPAs.

## Methods

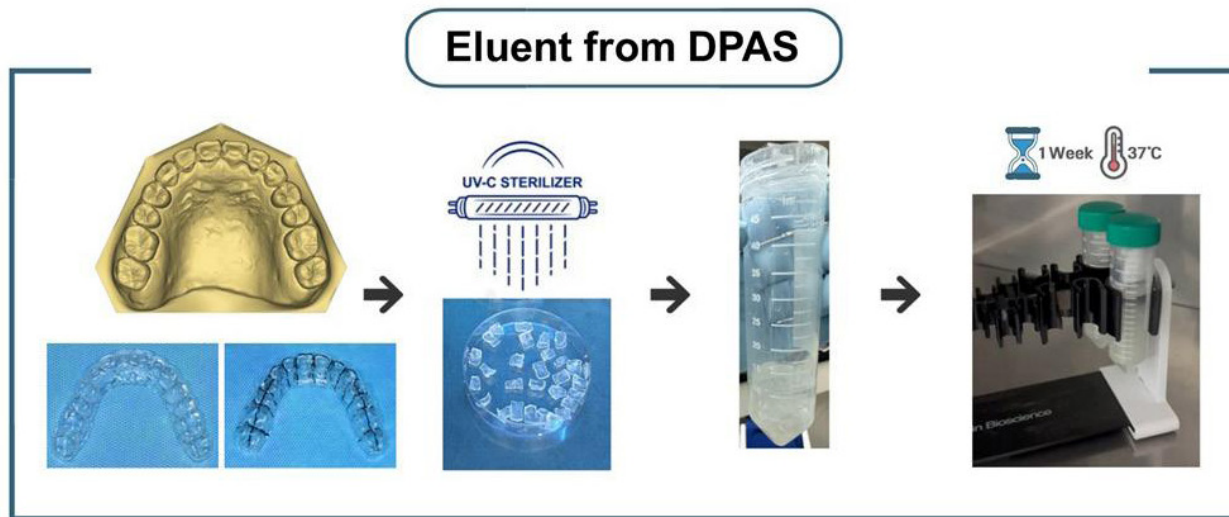
### 1. Preparation of DPAs

The digital scan of the maxillary arch after non-extraction treatment was imported into the Direct Aligner Designer (DAD) software (Graphy, Seoul, South Korea) for the virtual aligner design. This design was then exported to the Uniz Dental software for 3D printing using the Uniz NBEE printer (Uniz, CA, USA) with Tera Harz TA-28 DAC resin (Graphy, Seoul, South Korea). Samples were prepared according to a protocol similar to the manufacturer's instructions for aligner fabrication. After printing with the Uniz NBEE printer (Uniz, CA, USA), the DPAs were removed from the platform and centrifuged for 6 minutes after removing the supports to eliminate residual monomers using a Tera Harz Spinner (Graphy, Seoul, South Korea). The DPAs were post-cured for 20 minutes using high-intensity LEDs and nitrogen generators to ensure curing in an oxygen-free environment using Tera Harz Cure (Graphy, Seoul, South Korea).

### 2. Eluent preparation from DPAs

Each DPA was cut into 28 pieces to minimize the amount of artificial saliva (Sigma-Aldrich, Cat. SAE0149) required for complete immersion. All pieces of the three DPAs were immersed in a 50 mL tube containing 24 mL of artificial saliva and continuously shaken in a shaking incubator set at 37°C and 130 rpm for 7 days to facilitate elution. Evaporative losses during the incubation period were compensated by the addition of DW. All eluent samples were sealed and stored at 4°C until further use in the experiment. The following types of eluents were prepared. E1DPA (Eluate from one DPA): After 7 days of incubation with the pieces from one DPA, the eluent was collected. One of the four E1DPA 50 mL tubes was stored, while the remaining three tubes were used to prepare E2DPA (Eluate from 2 DPAs). The second set of DPA pieces was added to the eluent collected from E1DPA and incubated for another 7 days. After incubation, one tube was stored as E2DPA, while the remaining two tubes were used to prepare E3DPA (Eluate from 3 DPAs). The third set of DPA pieces was added to the eluent collected from E2DPA and incubated for 7 days. After incubation, one

tube was stored, while the remaining tube was used to prepare E4DPA (Eluate from 4 DPAs): The fourth set of DPA pieces was added to the eluent collected from E3DPA and incubated for 7 days. The final eluent was collected and stored as E4DPA <Fig. 1>.



**Fig. 1.** Preparation of eluents from DPAs

DPAs were cut into smaller pieces to facilitate elution and sterilized with UVC. The DPA pieces were then placed in tubes containing artificial saliva and incubated at 37°C with continuous shaking for one week. The final eluates were stored at 4°C.

### 3. Bacterial strain and culture conditions

*S. mutans* GS-5 was used as the experimental strain. The bacterium was inoculated into Brain Heart Infusion (BHI; Becton, Dickinson and Company, Sparks, MD, USA) broth or BHI agar supplemented with Micro agar (Duchefa Biochemie, RV Haarlem, Netherlands). Cultures were incubated at 37°C under anaerobic conditions (5% CO<sub>2</sub>, 5% H<sub>2</sub>, 90% N<sub>2</sub>) using an anaerobic chamber (Coy Laboratory Products, Michigan, USA).

### 4. Measurement of total bacterial growth and quantification of biofilm biomass

*S. mutans* was inoculated into liquid BHI broth and pre-cultured overnight at 37°C to reach the exponential growth phase. The resulting bacterial suspension was diluted with fresh 2×BHI medium to a final concentration of approximately 10<sup>7</sup>–10<sup>8</sup> cells/ml. Various concentrations of aligner eluents (100 µL per well) were mixed with an equal volume of the bacterial suspension (100 µL per well) in a 96-well microtiter plate. The plates were incubated anaerobically at 37°C for 24 hours. After incubation, bacterial growth was quantified by measuring the OD<sub>600</sub> using a microplate reader (Triad, DYNEX Technologies, Chantilly, VA, USA). After growth measurement, planktonic bacterial cells were carefully removed by aspiration, and the biofilm was washed twice with physiological saline to eliminate non-adherent cells. The adherent biofilm was stained with 0.1% crystal violet for 10 minutes. After staining, the plate was washed three times with physiological saline and air-dried. To dissolve the bound crystal violet, 200 µL of 95% ethanol was added, followed by elution for 30 minutes. The OD<sub>600</sub> of the eluate was subsequently measured.

### 5. Scanning Electron Microscopy (SEM)

Biofilms were prepared with or without exposure to aligner eluents, as described above. The biofilms were then gently rinsed three times with physiological saline to remove non-adherent cells. Primary fixation was performed using 2.5% (wt/vol) glutaraldehyde prepared in 0.1 M phosphate buffer (pH 7.4), sterilized through a 0.22 µm filter, and incubated for 1 hour at room

temperature. Secondary fixation was carried out using 1% (wt/vol) osmium tetroxide dissolved in 0.1 M phosphate buffer (pH 7.4) for an additional hour. After fixation, the biofilm was sequentially dehydrated using a graded ethanol series consisting of 25%, 50%, and 75% ethanol (one wash per concentration), followed by two washes with 100% ethanol to achieve complete dehydration. The dehydrated sample was dried using a freeze dryer (Ilshin Biobase Co. Ltd., Gyeonggi, South Korea) and coated with a thin layer of gold using a sputter coater (IB-3, Eiko, Tokyo, Japan) to enhance conductivity. The prepared sample was subsequently examined using a scanning electron microscope (model S-4700, Hitachi High Technologies America Inc., Pleasanton, CA, USA) operating at 10 kV.

## 6. RNA isolation and library preparation

Each of the control and experimental groups consisted of two biological replicates. The control group was treated with artificial saliva, while the experimental group was exposed to DPA eluents (E4DPA) for five hours. Total RNA was extracted using TRIzol™ Reagent (Invitrogen™, Cat. 15596026) and the RNeasy® Mini Kit (QIAGEN, Cat. 74104), according to the manufacturers' instructions. Only high-quality RNA samples with an RNA Integrity Number (RIN)  $\geq 7.0$  were selected for RNA library construction. RNA libraries were constructed using the Illumina TruSeq Stranded mRNA Sample Prep Kit (Illumina, Inc., San Diego, CA, USA, #RS-122-2101). Bacterial rRNA was first removed from the samples using the NEBNext rRNA Depletion Kit (NEB, Cat. 850L). Subsequent to rRNA removal, the remaining RNA was subjected to cDNA synthesis and shearing as per the provided manufacturer's instructions. The resulting product was then purified by PCR and concentrated to form the final cDNA library. Libraries were quantified using the KAPA Library Quantification Kit, designed for the Illumina sequencing platforms, and their quality assessed using the TapeStation D1000 ScreenTape (Agilent).

## 7. RNA-seq data processing and differential expression analysis

Paired-end reads were generated using the Illumina HiSeq Xten platform. Before analysis, Trimmomatic v0.38 was used to remove adapter sequences and low-quality bases from the raw data to generate high-quality reads. The trimmed reads were aligned to the *S. mutans* GS-5 genome obtained from the NCBI Genome Assembly database using the Bowtie program, and gene annotations were downloaded from the NCBI RefSeq database. The aligned data (BAM file format) were converted and indexed using SAMtools v1.17. After alignment, transcripts were assembled and quantified using the HTseq program. Gene-level expression profiles were quantified using the read counts from the aligned BAM files for each sample. Analyses were performed in R version 4.2.2. EdgeR's exactTest identified significant genes based on raw counts, with genes selected for nonzero counts across samples. After RLE normalization to adjust for library size variations, differentially expressed genes (DEGs) were identified with a fold change  $\geq 2$  and a raw  $p < 0.05$ .

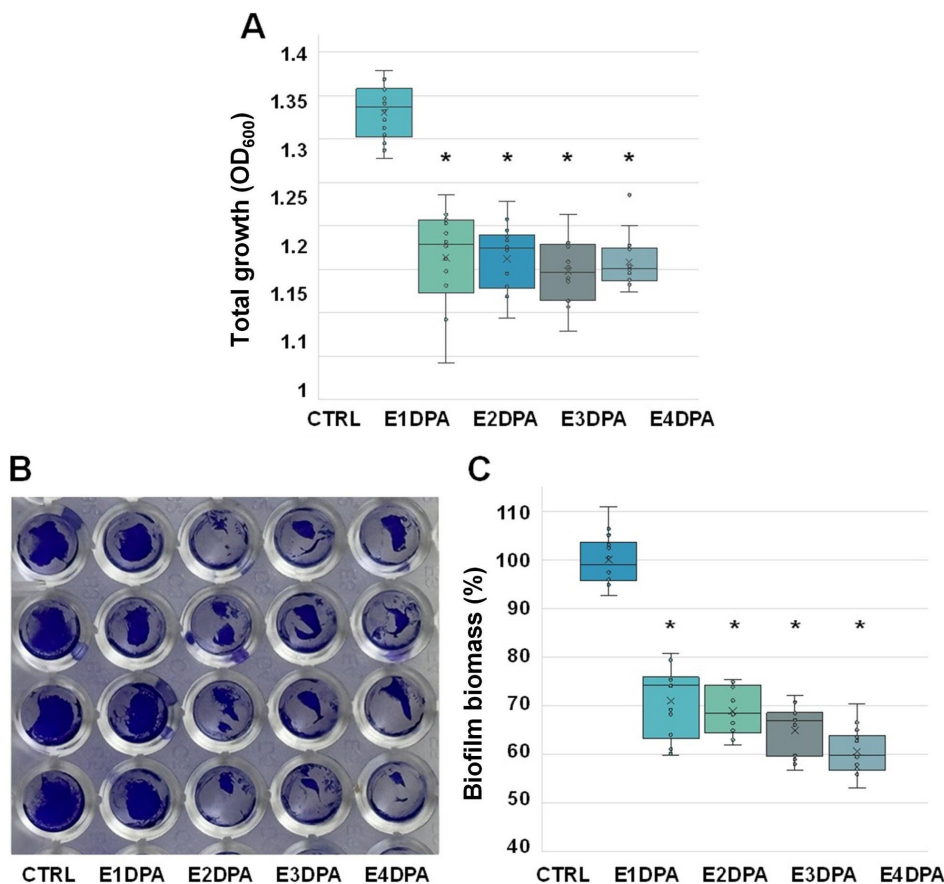
## 8. Statistical analysis

The Shapiro-Wilk test was utilized to evaluate the normality of the data distributions in quantifying total bacterial growth and biofilm biomass. This test indicated non-normal distributions ( $p < 0.05$ ), prompting the use of the nonparametric Mann-Whitney U test for comparisons between groups. Results are depicted as medians and interquartile ranges (IQR). Box plots were generated to visually demonstrate the data distribution and to highlight differences between groups. Differences were considered statistically significant at  $p < 0.05$ .

## Results

### 1. Effects of DPA eluents on the total growth and biofilm formation of *S. mutans*

The effects of DPA eluents on the total growth of *S. mutans* were assessed by measuring the OD<sub>600</sub> of the mixture of planktonic and biofilm cells. The quantification of *S. mutans* biofilm formation was evaluated using crystal violet staining. As shown in <Fig. 2A>, the total growth of *S. mutans* was significantly reduced when treated with the DPA eluents compared to the control (Ctrl) ( $p < 0.0001$ ). However, no statistically significant differences were observed among the aligner eluents (E1DPA, E2DPA, E3DPA, and E4DPA). Biofilm formation was visualized using crystal violet staining, showing that treatment with the aligner eluents decreased biofilm formation compared to the control <Fig. 2B>. Quantitative analysis showed a 29-39% reduction in *S. mutans* biofilm formation with the aligner eluents compared to the control <Fig. 2C>.

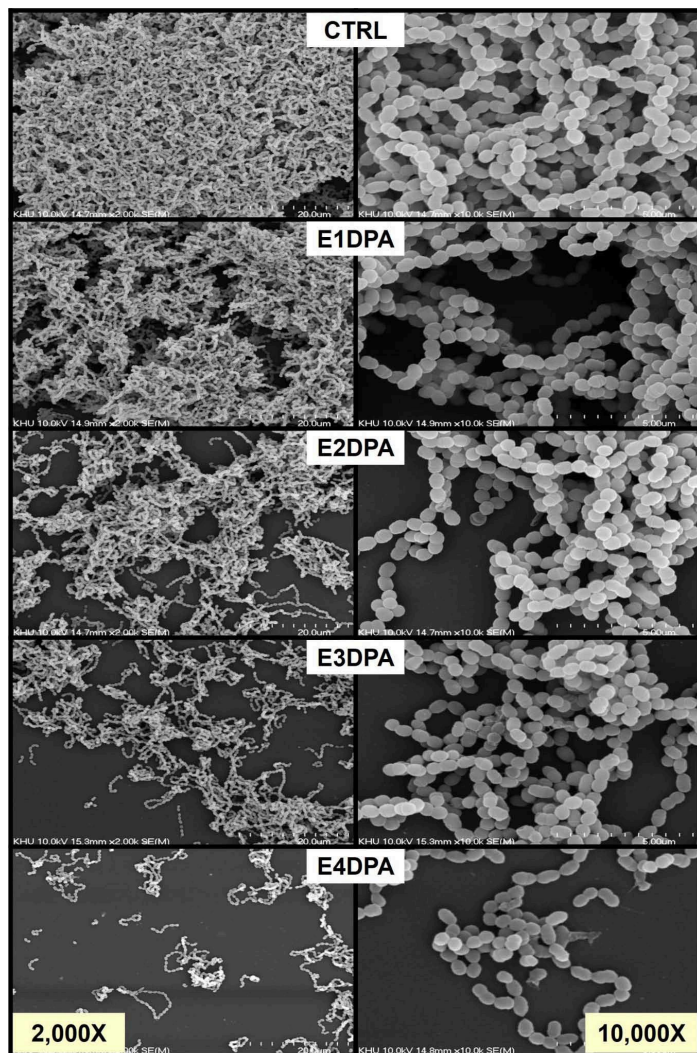


**Fig. 2.** Total growth and biofilm formation of *S. mutans* exposed to DPA eluents

(A) After 24 hours of incubation, the total growth of *S. mutans* was determined by measuring the OD<sub>600</sub>. (B) Representative images of biofilm formation visualized by crystal violet staining after the aspiration of planktonic bacterial cells and spent medium. All experiments were conducted in triplicate (biological replicates) with a minimum of four technical replicates per condition. (C) Quantification of biofilm biomass based on crystal violet staining. After staining, the plate was washed three times with physiological saline and air-dried. Bound crystal violet was dissolved by the addition of 200  $\mu$ L of 95% ethanol, followed by elution for 30 minutes. The OD<sub>600</sub> of the eluate was subsequently measured. The box plot represents the biofilm biomass of *S. mutans* exposed to fresh artificial saliva (Ctrl) or DPA eluents. The graph illustrates the median, mean (denoted by 'X'), interquartile range (IQR), and whiskers extending to 1.5 times the IQR, with outliers represented as individual points. Statistically significant differences were indicated by an asterisk for  $p < 0.0001$ .

## 2. Biofilm morphology influenced by aligner eluents

Exposure of *S. mutans* to fresh artificial saliva (Ctrl) or DPA eluents for 24 hours resulted in no observable changes in bacterial cell morphology. However, a notable reduction in biofilm cell density was observed. Remarkably, *S. mutans* exposed to E4DPA exhibited a pronounced decrease in the number of *S. mutans* biofilm cells after 24 hours of incubation <Fig. 3>.



**Fig. 3.** Biofilm formation and ultrastructure of *S. mutans* unexposed or exposed to DPA eluents  
*S. mutans* culture was adjusted to an OD<sub>600</sub> of approximately 0.05 in BHI broth and then further incubated in 24-well plates containing fresh artificial saliva (Ctrl) and DPA eluents for 24 hours. SEM images of *S. mutans* biofilms were observed at magnifications of 2,000× (left row) and 10,000× (right row), operating at 10 kV.

## 3. Effects of aligner eluents on the transcriptional response of *S. mutans*

RNA-seq analysis of *S. mutans* exposed to DPA eluents revealed distinct transcriptional changes affecting biofilm formation, oxidative stress response, and metabolic adaptation. A total of 10 DEGs exhibiting a minimum 2-fold change ( $|\log_2FC| \geq 1$ ) were identified, including 6 downregulated and 4 upregulated genes <Table 1>. Downregulated genes included lichenicidin alpha family lanthipeptide, acyl carrier protein, tRNA-Ser, YggT family protein, and hypothetical proteins. Upregulated genes comprised aldo/keto reductase, short-chain dehydrogenase/reductase family oxidoreductase, and ATP-binding cassette (ABC) transporter ATP-binding protein.

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**Table 1.** DEGs in *S. mutans* upon exposure to DPA eluents

Gene locus	Gene description	log <sub>2</sub> FC	Raw p
GS5_RS08725	Lichenicidin alpha family lanthipeptide	-4.40	0.0101
GS5_RS07100	Hypothetical protein	-4.29	0.0055
GS5_RS07865	Acyl carrier protein	-3.21	0.0131
GS5_RS01530	tRNA-Ser	-2.47	0.0474
GS5_RS10560	Hypothetical protein	-2.32	0.0402
GS5_RS02535	YggT family protein	-2.11	0.0305
GS5_RS04150	ATP-binding cassette transporter ATP-binding protein	2.04	0.0005
GS5_RS02785	Hypothetical protein	2.04	0.0264
GS5_RS01685	Short-chain dehydrogenase/reductase family oxidoreductase	2.47	0.0001
GS5_RS01680	Aldo/keto reductase	2.68	0.0001

## Discussion

Quantitative analysis of bacterial growth, measured by OD<sub>600</sub>, revealed a significant decline in *S. mutans* growth in the presence of DPA eluents. SEM imaging further confirmed a marked attenuation in biofilm density, suggesting that DPA eluent exposure induces widespread cellular stress and disrupts regulatory pathways essential for biofilm formation and stability. Transcriptomic data further support these findings.

RNA-seq analysis identified 10 DEGs, with 4 upregulated and 6 downregulated following DPA eluent exposure. Among the downregulated genes were those encoding a lichenicidin alpha family lanthipeptide and acyl carrier protein, indicating suppression of pathways related to quorum sensing (QS), biofilm integrity, and membrane stability. Lantipeptides, a subclass of ribosomally synthesized and post-translationally modified peptides, include lantibiotics known for their antimicrobial properties. These compounds disrupt bacterial viability by forming membrane pores [12] and/or by inhibiting cell wall synthesis *via* binding to lipid II, a key intermediate in peptidoglycan biosynthesis [13,14]. In *S. mutans*, lantibiotics and other bacteriocin-like peptides are tightly regulated by the QS systems such as MutRS [15], which modulates microbial competition and biofilm homeostasis [16]. Bacteriocins governed by QS also contribute to biofilm structural maintenance by lysing competing bacteria and influencing the availability of extracellular DNA [17]. Moreover, QS systems coordinate both competence development and antimicrobial peptide production [18]. Therefore, the downregulation of lantibiotic-related genes may reflect disruptions in QS signaling, which could indirectly compromise the composition and resilience of the oral biofilm community.

Although direct evidence linking ACP to biofilm formation in *S. mutans* is limited, studies in *Pseudomonas aeruginosa* have shown that AcpP is essential for fatty acid biosynthesis and membrane lipid organization under oxidative stress [19]. Given the established role of the QS systems in coordinating biofilm development and cell-to-cell communication in both *P. aeruginosa* [20] and *S. mutans* [21], the observed downregulation of ACP following DPA eluent exposure may impair membrane stability by affecting QS signaling fidelity, thereby compromising biofilm structure.

In contrast, genes involved in oxidative stress responses were significantly upregulated. Notably, aldo-keto reductase and short-chain dehydrogenase/reductase family oxidoreductases—key enzymes in microbial detoxification and redox balance—were elevated [22,23]. These seemingly contradictory results may reflect the dual response of *S. mutans* to DPA eluent exposure, in which *S. mutans* activates stress-response enzymes as a compensatory response to mitigate oxidative damage caused by biofilm destabilization and altered lantibiotic regulation. Supporting this, the expression of ABC transporter genes also increased. ABC transporters facilitate cellular stress responses by exporting toxic metabolic byproducts and maintaining intracellular homeostasis [24]. Although ABC transporters are widely recognized for conferring antibiotic resistance by exporting drugs and toxic substances [25], their upregulation in this study likely reflects a generalized adaptation to DPA exposure, rather than a specific resistance mechanism.

Since this study focuses on transcriptome data, protein-level validation such as proteomic analysis will likely be required to confirm the functional relevance of the identified DEGs.

The interplay between the inhibition of biofilm formation, the regulation of QS, and oxidative stress adaptation has broader clinical implications. Mummolo et al. [26] proposed that orthodontic aligners, due to extended intraoral wear and creation of enclosed microenvironments, may promote microbial persistence by selecting for oxidative stress-resistant populations. Within the confined environment of aligners, such adaptations could exacerbate enamel demineralization and periodontal disease by enhancing bacterial tolerance to oxidative stress. Furthermore, suppression of QS-regulated lantipeptide production could shift the microbial balance, favoring colonization by non-*S. mutans* pathogens and altering disease progression. Previous study culture-based approach did not assess molecular adaptations such as reactive oxygen species (ROS)-detoxifying enzyme activity or stress-responsive gene expression. However, this study provides transcriptomic-level understanding into bacterial responses to DPA eluents. By revealing molecular changes associated with biofilm regulation, stress adaptation, and transporter activation, our findings address a critical gap in the mechanistic understanding of microbial-material interactions.

Taken together these findings highlight the complex and multifaceted microbial responses to orthodontic materials. As biofilm inhibition alone may not be sufficient to reduce pathogenicity if stress-adaptive mechanisms remain active, a more comprehensive strategy is warranted.

## Conclusions

This study aimed to analyze the effects of DPA eluents on the growth, biofilm formation and transcriptomic changes of *S. mutans*, a major cariogenic bacterium.

1. DPA eluents significantly reduced biofilm formation in *S. mutans* and suppressed the expression of QS-related genes.
2. Nonetheless, the upregulation of oxidative stress-related genes suggests that *S. mutans* retains its ability to adapt to environmental stress and may continue to exhibit pathogenic characteristics.
3. Therefore, when evaluating orthodontic device materials, it is crucial to assess not only their physical and mechanical properties but also their impact on microbial gene expression.

In future studies, it will be essential to elucidate microbial adaptation mechanisms through integrated metagenomic, transcriptomic and proteomic analyses ultimately guiding the development of orthodontic materials that ensure both microbiological stability and clinical safety.

## Notes

### Author Contributions

The author fully participated in the work performed and documented truthfully.

### Conflicts of Interest

The author declared no conflicts of interest.

### Funding

None.

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## Ethical Statement

Non-human or animal research.

## Data Availability

Data can be obtained from the corresponding author.

## Acknowledgements

None.

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## 직접 프린팅으로 제작된 교정장치 용출액이 *Streptococcus mutans* GS-5의 성장, 생물막 형성 및 전사체에 미치는 영향

### 초록

**연구목적:** 이 연구는 직접 인쇄된 교정장치(DPA)의 용출액이 주요 우식원성 세균인 *Streptococcus mutans*에 미치는 영향을 평가하고 세균 성장, 생물막 형성 및 전사체 변화를 조사하여 평가하는 것을 목표로 한다. **연구방법:** 구강과 유사한 조건하에 DPA를 침적하여 용출액을 수집하였다. *S. mutans*를 이 용출액으로 처리하고 600 nm (OD<sub>600</sub>)에서의 흡광도 측정으로 세균 성장을 확인했다. 생물막 형성은 크리스탈 바이올렛 염색을 통해 정량화하고, 초미세 구조 변화는 주사 전자 현미경(SEM)을 사용하여 평가했다. RNA 시퀀싱(RNA-seq)을 통해 전사체 변화를 확인했다. **연구결과:** DPA 용출액은 대조군에 비해 *S. mutans* 성장과 생물막 형성을 29-39% 감소시켰고( $p < 0.05$ ), SEM은 세균의 형태에 영향을 미치지 않으면서 생물막이 감소했음을 보여주었다. RNA-seq 분석에서 10개의 차별적으로 발현되는 유전자(DEG)가 검출되었으며, 이는 퀴럼 감지(QS)와 생물막 관련 유전자(란티바이오틱 관련 및 아실 캐리어 단백질 유전자 포함)의 하향 조절된 발현을 보였다. 반대로, 알도케토 환원효소, 단쇄 탈수소효소 및 ATP 결합 카세트 수송체와 같은 산화 스트레스 반응에 관련된 유전자는 상향 조절되어 스트레스 적응 기전의 활성화를 시사했다. **결론:** DPA 용출액은 생물막 형성을 효과적으로 억제하지만, 산화 스트레스 반응의 동시 활성화는 박테리아 회복력이 강화되어 장기적으로 잠재된 미생물군의 불균형에 대한 우려를 불러일으킬 수 있다. 따라서 교정 재료에 대한 포괄적인 미생물 평가는 임상적 안전성과 효능을 보장하기 위해 여전히 필수적임을 강조한다.

**색인:** 생물막, 직접 프린팅 교정장치, RNA 시퀀싱, *Streptococcus mutans*, 전사체